

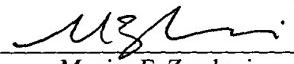
Sector #  
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ATTORNEY'S DOCKET NO. L0461/7086

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ono et al.  
Serial No: 09/559,013  
Filed: April 26, 2000  
For: CANCER ASSOCIATED ANTIGENS AND USES THEREFOR  
Examiner: Not Assigned  
Art Unit: Unknown

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 4th day of August, 2000.

  
Monica E. Zombori

Box Missing Parts  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- Preliminary Amendment
- Fee Calculation Sheet
- Copy of Notice to File Missing Parts
- Revised Sequence Listing - paper copy and identical computer readable diskette
- Statement Pursuant to 37 CFR 1.821(f) and 1.825(a) and (b)
- Check in the amount of \$2616.00
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the amount of the enclosed check is determined to be insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



John R. Van Amsterdam, Reg. No. 40,212  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Tel.: (617) 720-3500

Docket No.: L0461/7086

Date: August 4, 2000

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472932.1

Attorney Docket No. L0461/7086 (JRV)

Inventor(s): Ono et al.

Serial No: 09/559,013

Filed: April 26, 2000

For: CANCER ASSOCIATED ANTIGENS AND USES THEREFOR



CHECK BOX, if applicable:

DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	36-20=	16x	\$ 18	= \$ 288.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	19-3=	16 x	\$ 78	= \$ 1,248.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260.00	= \$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Fee for Petition for Extension of Time (if any)			\$	
			Total of above Calculations =	\$ 2,486.00	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).			\$	
	Assignment Recordation Fee (if any)			\$	
	Other Fees (if any).			\$ 130.00	
			TOTAL =	\$ 2,616.00	

1. A check in the amount of \$2,616.00 is enclosed.

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a.  If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§  1.16  1.17 or  1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b.  The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§  1.16  1.17 or  1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



John R. Van Amsterdam, Reg. No. 40,212  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

## FORMALITIES LETTER



\*OC00000005221149\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

HJ

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/559,013

04/27/2000

Toshiro Ono

L0461/7086(JRV)

John R Van Amsterdam  
 Wolf Greenfield and Sacks P C  
 600 Atlantic Avenue  
 Boston, MA 02210



Date Mailed: 07/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Repln. Ref: 10/23/2000 MPEOPLES 0017490400  
 DA#232825 Name/Number:09559013

## Filing Date Granted

FC: 704 An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$4832.
  - \$3168 for 176 total claims over 20.
  - \$1404 for 18 independent claims over 3 .
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.

Adjustment date: 10/23/2000, submit oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- 02 FC:103 03 FC:102
- To avoid abandonment, pay late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  - The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing".

10/23/2000 MPEOPLES 0017490400 For questions regarding compliance to these requirements, please contact:

01 FC:103  
 02 FC:102

- For Patent Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- For Technical Assistance, call (703) 287-0200
- To Purchase PatentIn Software, call (703) 306-2600

690.00  
 260.00  
 1240.00  
 130.00

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- The balance due by applicant is \$ 5652.

01 FC:103  
 02 FC:102  
 03 FC:104  
 04 FC:105  
 05 FC:105

*A copy of this notice **MUST** be returned with the reply.*

*D.D. Durham*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

